IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Thomas

Serial No.:

Unknown

Filed:

Herewith

For:

DISC BRAKE PAD BACKPLATE ASSEMBLY

Docket No.:

60130-2008; 02MRA0227

TRANSMITTAL OF CERTIFIED COPY

Commissioner for Patents P.O. Box 1450 Alexandra, VA 22313-1450

Dear Sir:

With regard to the above-referenced patent application, enclosed is a Certified Copy of prior corresponding document GB 0301799.3.

Respectfully submitted,

CARLSON, GASKEY & OLDS

Karin H. Butchko

Registration No. 45,864 400 West Maple, Suite 350 Birmingham, MI 48009

Dated: January 23, 2004

(248) 988-8360

CERTIFICATE OF MAIL

I hereby certify that the enclosed Transmittal of Certified Copies are being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandra, VA 22313-1450 on January 23, 2004.







The Patent Office Concept House Cardiff Road Newport South Wales NP10 8QQ

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

Signed

Dated 10 December 2003

Patents Form 1/77 PATENT OFFICE Patents Act 1977 G (Rule 1

2 5 JAN 2003 **NEWPORT**

Request for grant of a patent (See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

The **Patent** Office

27JANO3 E780089-5 D01038 P01/7700 0.00-0301799.3

The Patent Office Cardiff Road Newport South Wales NP10 8QQ

. Your reference P303208GB/PMJF				
. Patent application number (The Patent Office will fill in this part)	25 jan 21		0301799.3	
. Full name, address and postcode of the or of each applicant (underline all surnames)	Grange Road Cwmbran Gwent NP44 3XU	icle Braking S	ystems (UK) Limited	
Patents ADP number (if you know it)	United Kingdom 07756232002			
If the applicant is a corporate body, give the country/state of its incorporation	United Kingdom			
. Title of the invention	Disc Brake Pad Hol	d Down Spring	g And Backplate	
. Name of your agent (if you have one) "Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode) Patents ADP number (if you know it)	WITHERS & ROG Goldings House 2 Hays Lane London SE1 2HW	ERS		
If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or each of these earlier applications and (if you know it) the or each application number		Priority application (if you know t		
If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application	Number of earlier a	application	Date of filing (day / month / yea	
Is a statement of inventorship and of right to grant of a patent required in support of this request? (answer 'Yes' if:				
a) any applicant named in part 3 is not an inventor, or b) there is an inventor who is not named as an applicant, or	YES			

Patents Form 1/77					
 Enter the number of sheets for any of the forming items you are filing with this form. Do not count copies of the same document 					
Continuation sheets of this form					
Description	7				
Claim(s)	2				
Abstract		11.			
Drawing (s)	4+4	H			
 If you are also filing any of the following, stat how many against each item. 	e				
Priority documents					
Translations of priority documents					
Statement of inventorship and right to grant of a patent (Patents Form 7/77)					
Request for preliminary examination and search (Patents Form 9/77)	1				
Request for substantive examination (Patents Form 10/77)					
Any other documents (please specify)					
11.		I/We reque	st the grant of a paten	t on the bas	is of this application.
		Signature	Wfr	Date	23/1/03
Name and daytime telephone number of person to contact in the United Kingdom		ot	0121 245 3900		
Warning After an application for a patent has been filed, th communication of the invention should be prohib informed if it is necessary to prohibit or restrict yo Section 23 of the Patents Act 1977 stops you from	oited or restr our invention	ricted under n in this way	Section 22 of the Pate Furthermore, if you	ents Act 197 live in the	77. You will be United Kingdom,

Patent Office unless an application has been filed at least six weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500 505. . a)
- b) Write your answers in capital letters using black ink or you may type them.
- If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of c) paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- If you have answered 'Yes' Patents Form 7/77 will need to be filed. d)
- Once you have filled in the form you must remember to sign and date it. e)
- For details of the fee and ways to pay please contact the Patent Office. f)

Disc Brake Pad Backplate Assembly

The present invention relates to a disc brake pad backplate assembly. More particularly, the present invention relates to a disc brake pad backplate assembly comprising a backplate and a pad spring.

Known disc brakes (shown in Figures 1 to 3) comprise a disc or rotor 20 mounted to a wheel hub for rotation with a vehicle wheel. A brake carrier 12 is fixed relative to the axis of rotation of the rotor 20 and is secured to a non-rotating portion of the vehicle (eg the vehicle suspension). In "floating caliper" type brakes, a brake caliper comprising a bridge 16 secured to a housing 14 is slidably mounted on the carrier 12 to allow for movement parallel to the axis of rotation of the rotor 20. An actuator 18 communicates with one or more pistons or tappets (not shown) provided in housing 14 to apply the force required for the brake to function.

15

20

10

5

A pair of brake pads 22 comprising friction material 36 mounted to a solid backplate 34 are positioned either side of the rotor 20 with the friction material facing the planar faces of the rotor. The backplates 34 of the pads 22 are seated on vertical and horizontal abutment regions 28 and 30 respectively provided in openings 32 of the carrier 12 to restrain the pads 22 from rotational and radially inward movement respectively. In a typical floating caliper type brake, one of the backplates 34 engages with the piston(s), either directly or via a spreader plate to distribute the load. Braking is achieved by the actuator causing the piston to push one of the pads 22 towards the rotor 20. Because the caliper is able to "float" on the carrier, this causes an equal frictional braking load to be applied by both pads.

Backplates 34 of vehicle disc brakes pads 22 essentially perform two functions: they provide a solid support for slidably mounting the friction material 36 of the brake within the brake carrier in such a way to transmit sheer loads induced on the friction material during braking to the carrier, and they transmit and distribute the pressure applied by brake tappets or pistons during braking evenly to the surface of the friction

30

25

material to ensure even wear of the friction material over its surface. In order to perform the former of these two functions it is common for resilient means such as a leaf type pad springs 24 to restrain radially outward movement of the pads in the carrier whilst permitting movement towards and away from an associated brake disc and to prevent rattling of the pad in use.

Pad springs 24 are typically elongate and extend along a proportion of the radially outermost face of a brake pad backplate 34 when fitted. Pad springs 24 are typically pre-loaded to a certain extent against the carrier by a pad strap 26 which spans an opening between the bridge 16 and housing 14 and that contacts the approximate centre of the spring. This force is typically reacted radially outwardly by contact with the backplate proximate each end of the spring. Formations are also typically provided on the backplate and/or the pad spring to retain the pad spring on the backplate during movement of the pad 22 parallel to the axis of rotation of rotor 14.

15

20

25

30

10

5

One example of a prior art backplate 34 and pad spring 24 is shown in Figure 3 and is the subject of EP 0248385 (Lucas Industries PLC). It can be seen that the backplate 34 comprises circumferentially inward facing abutment portions 40 on its radially outer face that retain ends 42 of the pad spring 24 that are curved inwardly. The spring 24 is held down by a pad strap 26 at a central portion 48 of the spring. Radially inward projecting ears 46 provided intermediate the ends 42 and central portion 48 of the spring 24 retain the spring on the backplate 12 during sliding of the backplate towards and away from the rotor 20. As the pad spring 24 is restrained at its ends, radially inward deflection of the central portion 48 may only occur as a result of elastic buckling of the pad spring (ie portions of the pad spring 24 intermediate the ends 42 and centre 48 must deflect radially outwardly as the centre deflects radially inwardly). As such, the pad spring has a high spring rate (a high load per unit deflection of the central portion 48 of spring 18 radially inwardly). This is advantageous in as much as the tendency of the backplate 12 to rattle within the carrier, which generates noise and wear, is reduced. However, the force required to slide the backplate 12 towards the brake disc is increased, resulting in a relatively high load being required to slide the backplate towards the rotor, and for an increased load being needed to allow the caliper to slide relative to the carrier.

WO 92/00465 (Knorr-Bremse), on the other hand, discloses a backplate assembly whose pad spring has circumferentially unrestrained ends. That is to say, under load the centre of the pad spring abuts the radially outer face of the backplate before the circumferentially inner edges of the securement apertures abut the securement lugs. The raised portions proximate each end of the pad spring fail to restrain the curved ends of the pad spring because of their respective shapes and the spacing therebetween. This arrangement reduces the slide load, but increases the risk of noise and wear being generated due to increased rattling of the assembly in use.

The present invention seeks to overcome, or at least mitigate the problems of the prior art.

· 15

20

25

10

5

According to an aspect of the present invention there is provided a disc brake pad backplate assembly including a backplate and pad spring, the backplate and/or pad spring comprising retaining means to mount the pad spring to the backplate and circumferentially spaced abutments arranged so as to be capable of restraining lateral movement of the end portions of the pad spring, the pad spring being dimensioned relative to the spacing of the abutments such that a radially inward loading applied at a central portion of the spring causes the spring to function in a first resilient leaf spring-like mode in which the pad spring end portions are unrestrained up to a predetermined load limit, and such that above the predetermined load limit, the end portions are restrained by the abutments such that the pad spring functions in a second buckling mode, the spring rate being relatively low in the first mode and relatively high in the second mode.

Embodiments of the present invention will now be described, by way of example only, with reference to the accompanying drawings in which:

FIGURE 1 is a plan view of a disc brake incorporating a prior art disc brake pad backplate assembly;

FIGURE 2 is an end view of the disc brake of Figure 1;

FIGURE 3 is an elevational view of a portion of the carrier and one prior art disc pad and pad spring of Figures 1 and 2;

10

FIGURE 4 is a plan view of a backplate assembly according to embodiment of the present invention;

FIGURE 5 is a cross-sectional view of Figure 4 along the axis X-X;

15

FIGURE 5A is a cross-sectional view of the circled portion of Figure 5 showing one end of the pad spring abutting its corresponding abutment; and

FIGURE 6 is a graph illustrating the load-deflection characteristics of the backplate assembly of the present invention in comparison with prior art backplate assemblies.

Figures 4 and 5 show a disc brake pad backplate assembly 133 according to one embodiment of the present invention. The assembly 133 is capable of being fitted into a prior art disc brake 10 as described above. The assembly 133 comprises a backplate 134 to which friction material (not shown) is secured. The backplate comprises a pair of circumferentially spaced abutments 140 on the radially outer face 135 thereof. Pad spring retaining means, such as pair of radially outwardly extending lugs 150 also project from the radially outer face 135 of the backplate 134 and are located between abutments 140.

30

25

As can be seen from Figure 4, pad spring 124 is elongate having a central region 148 and end regions 149. When fitted to the backplate 134, the pad spring 124 extends in

the circumferential direction transverse to the axis of movement of the backplate 134 Y-Y towards and away from rotor 20 when in use. The spring is provided with upturned ends 142 and two of slots 152 positioned at end regions 149 such that lugs 150 may fit therethrough. The abutments 140 on backplate 134 are shaped such that the upturned ends 142 of the pad spring are prevented from sliding over them.

5

30

A pad strap 126 holds down the central portion 148 of the pad spring 124 as is well known.

Prior to fitting the pad spring 124 onto lugs 150, the spring has an arcuate profile with a shorter radius of curvature than is shown in Figure 5. To fit the pad spring 124 to the backplate, it must be compressed by a certain amount for the slots 152 to fit over both lugs 150. Once fitted, a certain amount of relaxation occurs such that the circumferentially outermost edge of slots 152 contact the radially outermost face of lugs 150, thereby retaining the spring on the backplate 134 prior to the mounting of the assembly 133 in the brake 10. In other embodiments, the lugs 150 may not perform this circumferential retaining function. The height of central portion 148 when fitted is represented by line 160.

In Figure 5 the backplate assembly 133 is shown in its assembled state on disc brake 10 with pad strap 126 in place. It should be noted that the pad strap 126 depresses the central portion 148 of the spring 124 such that the circumferentially outermost edges of slots 152 no longer contact lugs 150, but a space between these circumferentially innermost edges of holes 152 and the lugs 150 remains. This assembled state corresponds to line 162 in which there is a distance S between the pad strap 126 and outer face 135 of the backplate.

Referring to Figure 6, the load-deflection characteristics of the pad spring 124 are shown as line 168, the load-deflection characteristics of a pad spring with restrained ends (eg the assembly of EP 0248385) are shown by line 170 and the characteristics of a spring with unrestrained ends (eg the assembly of WO 92/00465) are illustrated by line 172. The load and deflection of each spring when fitted to their respective

backplate, but not assembled in the brake (ie at line 160) are taken as the datum. From zero load and deflection position 160 up until the assembled deflection 162, the spring rate of the spring 124 is relatively low.

When the backplate 134 is subjected to radially outward accelerative loads due to, for example, a vehicle to which the backplate is fitted travelling over uneven terrain, the loads cause the distance S between the strap 126 and central region 144 of the backplate to decrease. In turn, this causes the spring 124 to straighten along its length and the ends 142 thereof to slide circumferentially outwardly up until they come into contact with abutments 140. This constitutes a first "leaf spring" mode of operation of the pad spring 124 which is similar to the mode of operation of the prior art backplate assembly disclosed in WO 92/00465. At this point deflection 164 is reached.

Thereafter, further deflections towards the radially outermost face 135 of the backplate up to position 166 have a significantly higher spring rate due to spring 124 entering a second elastic "buckling" mode of deflection in which the central portion 148 continues to straighten, but the portions intermediate the central portion and the ends are forced to curve away from radially outer face 135.

15

30

It will be apparent that operation using the above two modes provides for low slide load of the back plate against the carrier and of the caliper relative to carrier under normal operation, but increased loads to minimise rattling when the backplate is subjected to high radial accelerations, thus minimising noise and wear of both the backplate 134 and abutment portions 28 and 30 of the carrier 12. This can be contrasted with the prior art springs which, as in the case of line 170 have an increased sliding force requirement at the fitted deflection 162 and with line 172 which has a low spring rate at more extreme deflections that in turn may lead to increased wear and noise.

It should be understood that numerous changes may be made within the scope of the present invention. For example, alternative means of securing the pad spring to the backplate may be employed, as may other suitable shapes of the pad spring end and abutment (eg such as inwardly curved or straight ends). The circumferential restraint

need not occur at the extreme ends of the spring. For example the abutments for providing circumferential restraint may be the circumferential inner faces of the lugs which restrain the circumferentially inner edges of the slots. The change over from the first to the second mode may be varied by altering the length of the spring relative to the spacing of the abutments.

5

Claims

1. A disc brake pad backplate assembly including a backplate and pad spring, the backplate and/or pad spring comprising retaining means to mount the pad spring to the backplate and circumferentially spaced abutments arranged so as to be capable of restraining lateral movement of end portions of the pad spring, the pad spring being dimensioned relative to the spacing of the abutments such that a radially inward loading applied at a central portion of the spring causes the spring to function in a first resilient leaf spring-like mode in which the pad spring end portions are unrestrained up to a predetermined load limit, and such that above the predetermined load limit, the end portions are restrained by the abutments such that the pad spring functions in a second buckling mode, the spring rate being relatively low in the first mode and relatively high in the second mode.

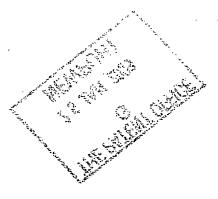
5

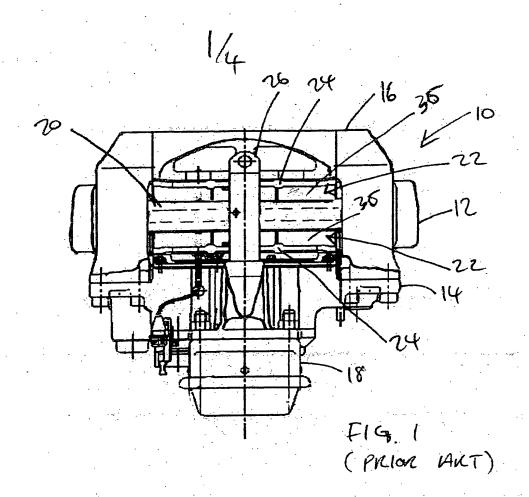
10

20

- 15 2. A backplate assembly according to claim 1 wherein the pad spring has radially outwardly curved ends.
 - 3. A backplate assembly according to claim 2 wherein the backplate has complementary curved abutment surfaces.
 - 4. A backplate assembly according to any preceding claim wherein the retaining means comprises lugs.
- 5. A backplate assembly according to claim 4 wherein the lugs extend radially outwardly from the backplate.
 - 6. A backplate assembly according to claim 4 or claim 5 wherein complementary apertures are provided in the pad spring through which the lugs may fit.
- 30 7. A backplate assembly according to claim 6 wherein the lugs act as the abutments.

- 8. A backplate assembly according to any preceding claim wherein the pad spring has a curved profile.
- 9. A disc brake incorporating a backplate assembly according to any preceding 5 claim.
 - 10. A disc brake according to claim 8 wherein the pad spring is retained by a pad strap.
- 10 11. A vehicle incorporating a disc brake according to claim 9 or claim 10.





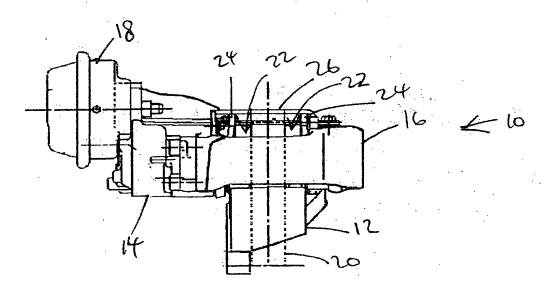
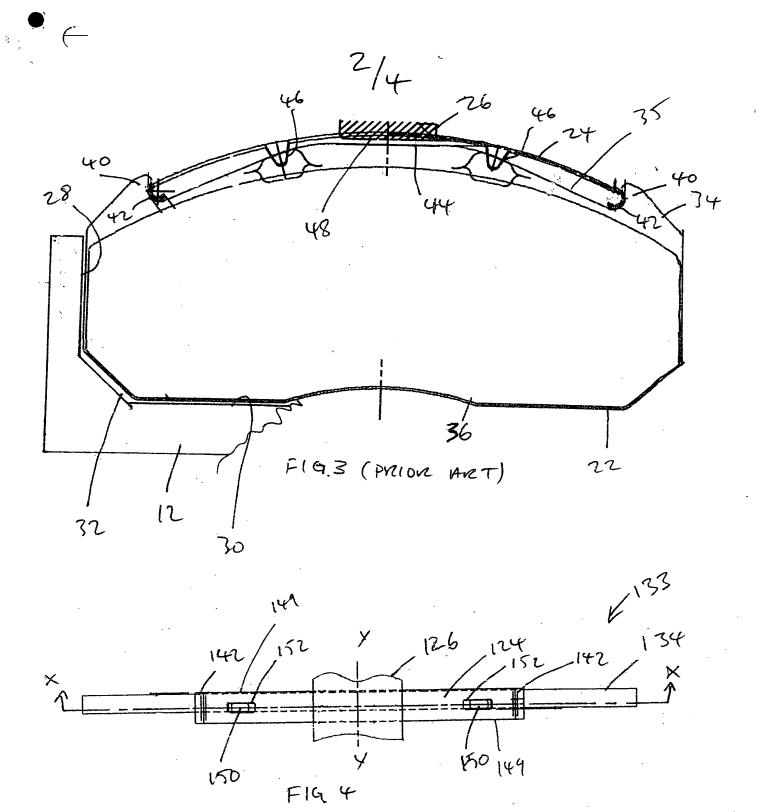
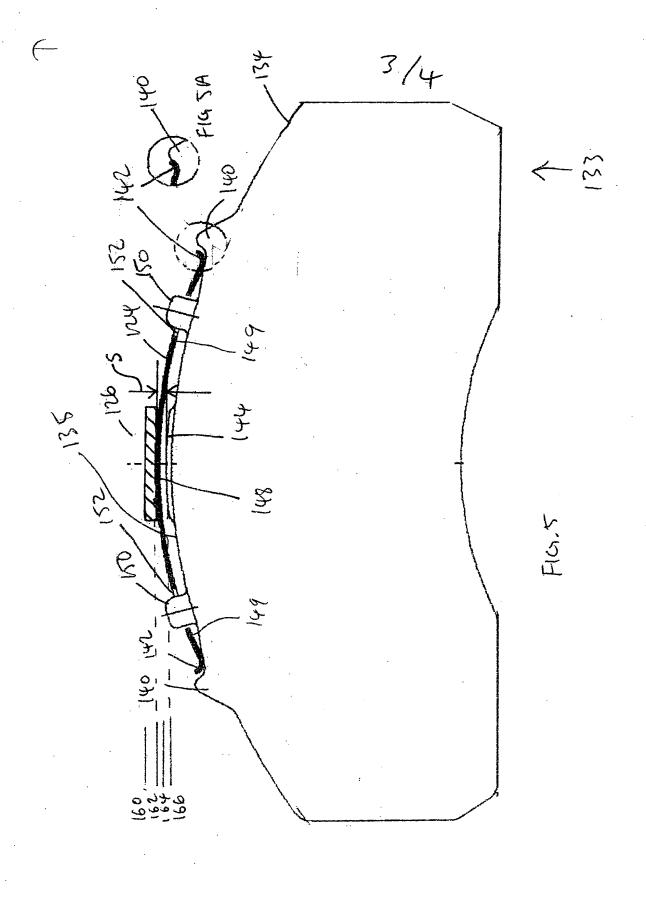


FIG. Z (PRIOR ART)



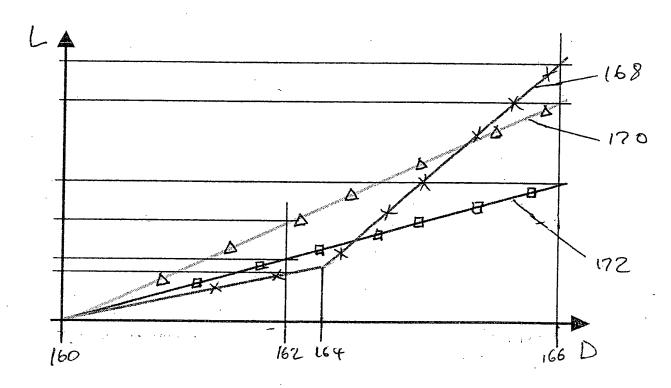
2. * · .

in the state of the stage of



es est

4/4



F14 6.